# Privacy Policy

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<thead>
<tr>
<th>Category/Business Group</th>
<th>Corporate Services</th>
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<tr>
<td>Published Externally (Yes/No)</td>
<td>Yes</td>
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<tr>
<td>Approver</td>
<td>Chief Executive Officer</td>
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<td>Responsible Officer</td>
<td>Head of Legal and Compliance</td>
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<tr>
<td>Contact Officer</td>
<td>Associate Legal Counsel</td>
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<td>Effective Date</td>
<td>17/05/2021</td>
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<td>Next Review Date</td>
<td>17/05/2024</td>
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<td>Version</td>
<td>3.3</td>
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## Policy Approval

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<tr>
<th>Approver</th>
<th>Responsible Officer</th>
<th>Legal Officer</th>
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<tr>
<td>Laurie Pearcey</td>
<td>Dominic Carew</td>
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<td>Date:12/05/2021</td>
<td>Date:11/05/2021</td>
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1 Background

UNSW Global operates in complex regulatory and privacy compliance regimes established under both the federal and state legislative frameworks.

As a not-for-profit organisation with an annual turnover exceeding $3 million, UNSW Global falls within the definition of an ‘organisation’ in the Privacy Act 1988 (Cth) and is required to comply with that act as an “APP entity”. UNSW Global is also:

(a) a “related body corporate” of UNSW Sydney as that term is defined by sections 46 and 50 (b) of the Corporations Act 2001 (Cth)); and

(b) a “controlled entity” of UNSW Sydney, as that term is defined by section 15A of the University of New South Wales Act 1989 (NSW); and

(c) a “public sector agency” as that terms is defined by section 3 (d) of the Privacy and Personal Information Protection Act 1998 (NSW) (PIPPA) and section 4 (d) of the Health Records and Information Privacy Act 2002 (NSW) (HRIPA).

As such, UNSW Global may be also required to comply with PIPPA and HRIPA.

UNSW may also collect data on citizens in European Union (EU) countries or process personal data of European residents and as such may need to comply with the General Data Protection Regulation (GDPR).

2 Purpose

The purpose of this policy is to outline:

(a) the Personal Information handling practices of UNSW Global,
(b) the way individuals can access their Personal Information to seek the correction of it; and
(c) how individuals may make a complaint to UNSW Global about the mishandling of their Personal Information.

3 Scope

This Policy applies to:

(a) all UNSW Global staff working for or on behalf of UNSW Global
(b) all UNSW Global Students
(c) parents or guardians of UNSW Global Students who are under 18 years of age and School Students
(d) third parties, such as customers, Business Partners and Service Providers of UNSW Global.
4 Definitions

Note: definitions of personal, sensitive and health information in this policy are taken from the Privacy Act 1988 (Cth) unless stated otherwise.

**Australian Privacy Principles (APPs)** means the 13 Privacy Principles set out in Schedule 1 of the Privacy Act 1988 (Cth) which outline how APP entities must handle, use and manage personal information.

**APP entity** means an agency or an organisation, including all private sector and not-for-profit organisations with an annual turnover of more than $3 million, all private health service providers and some small businesses.

**Business Partner** means a person who is part of a business partnership, collaboration or similar arrangement with UNSW Global.

**Consent** means ‘express consent or implied consent’. The four key elements of consent are:

(a) the individual is adequately informed before giving consent
(b) the individual gives consent voluntarily
(c) the consent is current and specific, and
(d) the individual has the capacity to understand and communicate their consent.

**Controller** according to the GDPR means the natural or legal person, public authority, agency or other body which, alone or jointly with others, determines the purposes and means of the processing of personal data

**CRICOS Code** means the Australian Commonwealth Register of Institutions and Courses for Overseas Students.

**Direct marketing** means the use and/or disclosure of personal information to communicate directly with an individual to promote goods and services. A direct marketer may communicate with an individual through a variety of channels, including telephone, SMS, mail, email and online advertising.

**Express consent** means consent given explicitly, either orally or in writing. This could include a handwritten signature, an oral statement, or use of an electronic medium or voice signature to signify agreement.

**Eligible Data Breach** means data breach where:

(a) both of the following conditions are satisfied:
   (i) there is unauthorised access to, or unauthorised disclosure of, the information;
   (ii) a reasonable person would conclude that the access or disclosure would be likely to result in serious harm to any of the individuals to whom the information relates; or

(b) the information is lost in circumstances where:
   (i) unauthorised access to, or unauthorised disclosure of, the information is likely to occur; and
(ii) assuming that unauthorised access to, or unauthorised disclosure of, the information were to occur, a reasonable person would conclude that the access or disclosure would be likely to result in serious harm to any of the individuals to whom the information relates.

GDPR means the General Data Protection Regulation

Health Information as defined by the Privacy Act 1988 (Cth) means:

(a) information or an opinion about:
   (i) the health or a disability (at any time) of an individual; or
   (ii) an individual’s expressed wishes about the future provision of health services to him or her; or
   (iii) a health service provided, or to be provided, to an individual; that is also personal information; or

(b) other personal information collected to provide, or in providing, a health service; or

(c) other personal information about an individual collected in connection with the donation, or intended donation, by the individual of his or her body parts, organs or body substances; or

(d) genetic information about an individual in a form that is, or could be, predictive of the health of the individual or a genetic relative of the individual.

HRIPA means the Health Records and Information Privacy Act 2002 (NSW)

Information Protection Principles (IPPs) means the 12 Principles set out in Part 2, Division 1 of the PIPPA outlining legal obligations which NSW public sector agencies, statutory bodies, universities and local councils must abide by when they collect, store, use or disclose personal information.

Implied consent arises where consent may reasonably be inferred in the circumstances from the conduct of the individual and the APP entity.

Notifiable Data Breach means a scheme that requires agencies and organisations to notify individuals whose personal information is involved in a data breach that is likely to result in serious harm and to notify Australian Information Commissioner of Eligible Data Breaches.

OAIC means the Office of the Australian Information Commissioner.

Overseas Recipients means a person or entity who is not in Australia or an external Territory, and is not the entity or the individual, and includes UNSW Global staff in UNSW Global’s subsidiary companies located overseas and education agents.

Permitted General Situation has the meaning in section 16B of the Privacy Act 1988 (Cth).

Personal Information as defined by the Privacy Act 1988 (Cth) means information or an opinion about an identified individual, or an individual who is reasonably identifiable:

(a) whether the information or opinion is true or not; and

(b) whether the information or opinion is recorded in a material form or not.
Examples of Personal Information include:

(c) a record which includes an individual’s name, address, date of birth, mobile phone number, email address;
(d) photographs, images, video or audio footage of an individual;
(e) the fingerprints, blood or DNA samples of an individual.

**PIPPA** means the *Privacy and Personal Information Protection Act 1998* (NSW).

**Privacy Laws** means the *Privacy Act 1988* (Cth), PIPPA and HRIPA.

**Privacy Principles** means Australian Privacy Principles and/or Information Protection Principles

**Processor** according to the GDPR means a natural or legal person, public authority, agency or other body which processes personal data on behalf of the controller.

**School Student** means a person formally engaged in learning, usually one enrolled in a primary or secondary school.

**Sensitive Information** is defined in s.6 of the *Privacy Act 1988* (Cth) to mean:

(a) information or an opinion about an individual’s:
   (i) racial or ethnic origin; or
   (ii) political opinions; or
   (iii) membership of a political association; or
   (iv) religious beliefs or affiliations; or
   (v) philosophical beliefs; or
   (vi) membership of a professional or trade association; or
   (vii) membership of a trade union; or
   (viii) sexual orientation or practices; or
   (ix) criminal record;
   (x) that is also Personal Information; or
(b) Health Information about an individual; or
(c) genetic information about an individual that is not otherwise health information; or
(d) biometric information that is to be used for the purpose of automated biometric
   verification or biometric identification; or
(e) biometric templates.

**Serious harm** means serious physical, psychological, emotional, economic and financial harm, as well as serious harm to reputation and other forms of serious harm that a reasonable person in the entity’s position would identify as a possible outcome of the data breach.

**Service Provider** means a third party that provides services on behalf of UNSW Global to UNSW Global Students and/or staff under a written agreement.
**UNSW Global Student** means a student who is enrolled with UNSW Global or a person who has submitted an application for admission to UNSW Global.

**UNSW Sydney** means the University of New South Wales (ABN 57 195 873 179).

5 **Policy Statement**

UNSW Global Pty Ltd is committed to only collect, hold, use and disclose Personal Information that is needed to carry out its functions and activities and to handle the information in accordance with the Privacy Laws and other applicable data protection laws.

It is UNSW Global policy to:

(a) only collect Personal Information for a lawful purpose, which is directly related to UNSW Global’s functions and activities;

(b) not to collect Health Information or Sensitive Information from an individual unless the individual consents to the collection of the information or unless the collection of the information is required or authorised by or under an Australian law;

(c) only collect Personal Information directly from the individual concerned, unless the person has authorised collection from someone else, or where the person is under the age of 16, the information has been provided by a parent or guardian;

(d) inform the person concerned that UNSW Global collects their Personal Information, the reason for collecting the information, how it is going to be used and disclosed (if applicable) and how the person can access and correct the information;

(e) use all reasonable endeavours to ensure the collected information is relevant, accurate, complete, up to date and not excessive;

(f) store Personal Information securely and protect it from unauthorised access, use modification or disclosure and destroy or de-activate the information if it is no longer needed;

(g) provide access for individuals to their Personal Information and allow to update, correct or amend their Personal Information where necessary;

(h) only use Personal Information for the purpose it was collected unless the person has given their consent or if exemptions apply;

(i) only disclose Personal Information with a person’s consent or if exemptions apply.

5.1 **UNSW Global Functions**

UNSW Global operates in educational areas that are ancillary to the core business (research and degrees) of UNSW. UNSW Global’s core function is education though this is supported by a number of functions including IT, finance, human resources, legal & compliance and sales & marketing.

5.2 **Collecting Personal Information**
5.2.1 UNSW Global may collect the following information:

(a) Personal Information, such as: the individual’s name, date of birth, contact details, including postal and residential address, email address, phone number and/or mobile number (see Annexure 1 for further examples);

(b) Sensitive Information, such as: racial or ethnic origin or criminal record.

(c) Health Information, such as: information or opinion about the health or a disability of an individual, e.g. professional medical practitioner certificates.

5.2.2 UNSW Global may collect Personal Information, Sensitive Information and Health Information in a number of ways, including the following:

(a) Directly from individuals or their authorised representative, for example when the individuals: complete online or hardcopy forms; submit assessment materials, applications, instructions or invoices; speak with UNSW Global staff in person or by telephone; correspond with UNSW Global by letter or email; use UNSW Global websites or social media platforms; or participate in UNSW Global’s marketing initiatives.

(b) Indirectly from education agents and Service Providers where an individual gave consent for the information to be collected and disclosed to UNSW Global, or as otherwise legally permitted by applicable privacy law.

(c) Automatically through digital communication information from search engines or UNSW Global website hosts (including through the use of cookies and similar technology). This information includes the individual’s Internet Protocol (IP) address and the web pages visited immediately before and after accessing UNSW website.

5.2.3 UNSW Global may collect Personal Information for primary and secondary purposes:

Primary Purposes

(a) Delivery of education services including, but not limited to: recruitment, admission, teaching, assessments, academic administration, research, market research, and analysis of data which includes de-identified Personal Information of UNSW Global Students or prospective students.

(b) Interactions with UNSW Sydney as UNSW Global’s parent entity, for example, for the purpose of managing emergencies; facilitating access by UNSW Global Students to UNSW services and support, either in their capacity as UNSW Global students or when transitioning to UNSW Sydney to commence studies at UNSW Sydney; collaborating with UNSW Sydney for commercial purposes, including but not limited to conducting tests and research; reporting to UNSW Sydney as a controlled entity and as an entity that delivers educational services under UNSW Sydney’s CRICOS code.
(c) Conducting its business operations including, but not limited to: dealing with requests, enquiries or complaints from UNSW Global Students, School Students and their parents or guardians; facilitating fee payments and refunds; dealing with UNSW Global’s customers, Business Partners and Service Providers, and other third parties, such as banks and financial service providers; for Human Resources purposes (including health and safety risk management); or for interacting with other organisations and companies.

Secondary Purposes

(a) Showcasing achievements of UNSW Global Students and School Students, for example, in student graduation books or year books, testimonials, in-house videos or Student Newsletters;

(b) Marketing, advertising and promoting UNSW Global products and services for example, in marketing materials, testimonials, via the UNSW Global website and through social media.

5.2.4 UNSW Global informs individuals that it collects their Personal Information, either at or before the time of collection, or as soon as practicable thereafter, either through a form used to collect the information or by giving a notice to individuals or by otherwise ensuring that the individuals are aware of the collection of their Personal Information. The notification will be in writing wherever possible.

5.2.5 Further examples of UNSW Global’s functions and activities and the type of Personal Information collected are outlined in Annexure 1.

5.3 Consent

Where necessary and as required by law, UNSW Global may seek specific consents from an individual to collect, use and disclose the individual’s information.

5.3.1 Consent must be sought when:

(a) UNSW Global collects Sensitive Information about an individual, unless the collection of the information is required or authorised by or under an Australian law or a court/tribunal order;

(b) The use or disclosure of Personal Information is not directly related to the primary purpose of collection, unless:

(i) the individual would reasonably expect UNSW Global to use or disclose the information for the secondary purpose or the use; or

(ii) the disclosure of the information is required or authorised by or under an Australian law or a court/tribunal order;

(c) UNSW Global collects and uses Personal Information, such as testimonials or photos of an individual in marketing or advertising materials. Note, consent is only required where the person’s identity is clear or can
reasonably be ascertained from an image or a video, and in case of testimonials, where the testimonial contains personally identifiable information. UNSW Global staff may obtain copies of the Student Consent Form and the Parent/Guardian Consent Form as relevant to their activities by visiting the Forms page on our Intranet website, available here: https://intranet.unswglobal.unsw.edu.au/Policy/SitePages/Home.aspx.

(d) The use or disclosure of Personal Information is for the purpose of direct marketing, unless certain exceptions under the law apply;

(e) UNSW Global discloses Personal Information about an individual to an Overseas Recipient, unless:
   
   (i) the Overseas Recipient of the information is subject to a law that has the effect of protecting the information similar to the Australian Privacy Principles; and

   (ii) there are mechanisms that the individual can access to take action to enforce that protection of the law; or

   (iii) the disclosure of the information is required or authorised by or under an Australian law or a court/tribunal order; or

   (iv) the disclosure of the information is required or authorised by or under an international agreement relating to information sharing to which Australia is a party; or

   (v) UNSW Global reasonably believes that the disclosure of the information is reasonably necessary for one or more enforcement related activities conducted by, or on behalf of, an enforcement body, and the Overseas Recipient is a body that performs functions, or exercises powers, that are similar to those performed or exercised by an enforcement body.

5.3.2 Consent is not required if there is a Permitted General situation, for example, UNSW Global reasonably believes that the collection, use or disclosure is necessary:

   (a) to lessen or prevent a serious threat to the life, health or safety of any individual, or to public health or safety;

   (b) where UNSW Global has reason to suspect that unlawful activity, or misconduct of a serious nature that relates to UNSW Global’s functions or activities has been, is being or may be engaged in, the collection, use or disclosure is necessary in order for UNSW Global to take appropriate action in relation to the matter; or

   (c) UNSW Global reasonably believes that the collection, use or disclosure is reasonably necessary to assist any APP entity, body or person to locate a person who has been reported as missing.
5.4 **Anonymity and Pseudonymity**

(a) For most of its functions and activities, UNSW Global needs Personal Information from identifiable individuals to perform its operations, e.g. to deliver educational services, to conduct educational assessments, or to handle an inquiry or complaint etc.

(b) Where practicable, an individual can choose not to identify themselves or to use a pseudonym. For example, if an individual calls UNSW Global to make a simple enquiry, they will not be asked about their name and contact details unless this information is needed to provide a response.

5.5 **Storage, Security and Disposal**

(a) Where practicable, UNSW Global will seek to ensure that Personal Information is stored securely within Australia.

(b) Where UNSW Global engages Service Providers to store Personal Information, UNSW Global will seek assurance in its contracts with the Service Providers that they will comply with applicable privacy and data protection law.

(c) UNSW Global has processes in place to limit access to Personal Information and to prevent unauthorised access, by using measures, such as: user identification, the encryption of data or different level of user access.

(d) UNSW Global staff are permitted to email or otherwise transfer Personal Information held on UNSW Global’s systems to outside systems (such as their personal email accounts or file hosting services) only if it is if absolutely necessary, i.e. for a justified academic, research or business need, and if it is done in accordance with the UNSW Global IT Security Policy: 5.13 *Bring Your Own Device (BYOD) Policy* and relevant procedures.

(e) UNSW Global will securely destroy or de-identify Personal Information it holds once the Personal Information is no longer needed for any purpose for which the information was collected. This requirement does not apply where the Personal Information is contained in a Commonwealth or state record (for the purposes of records retention laws) or where UNSW Global is otherwise required by law to retain the information.

5.6 **Direct Marketing**

(a) UNSW Global may use Personal Information collected directly from an individual for the purpose of direct marketing, provided that individual has opted in to receive such communications.

(b) An individual can request not to receive direct marketing communications from UNSW Global by opting out of receiving future emails or SMSs.

(c) UNSW Global provides information about how to opt out in each direct marketing communication.
5.7 Disclosing Personal Information

(a) UNSW Global is a related body corporate and a controlled entity of UNSW Sydney. Section 13B of the Privacy Act 1988 (Cth) permits UNSW Global to disclose Personal Information to UNSW Sydney as is necessary to carry out its activities and functions, including those set out in paragraphs 5.1 and 5.2 above and in Annexure 1.

(b) UNSW Global may disclose Personal Information to other third parties in the following cases:

   (i) to UNSW Global’s agents, consultants, contractors and Service Providers who assist UNSW Global in running its business or provide related services, and who are subject to security and confidentiality obligations;

   (ii) to UNSW Global Business Partners, to the extent they are involved in the provision of UNSW Global services to customers;

   (iii) where an individual has consented the disclosure to a third party; or

   (iv) if the law allows or requires UNSW Global to do so.

(c) UNSW Global will not disclose Sensitive Information without obtaining the consent of the individual unless the disclosure is necessary to prevent a serious and imminent threat to the life or health of the individual concerned or another person or in accordance with section 16A of the Privacy Act (Cth).

(d) While UNSW Global's main teaching venues are in New South Wales, it operates in more than 20 countries worldwide, predominantly in the Asia-Pacific region. UNSW Global may disclose Personal Information, outside New South Wales, to Commonwealth government agencies or to Overseas Recipients where:

   (i) UNSW Global has taken reasonable steps to ensure the Overseas Recipient does not breach the Privacy Principles in relation to the information; or

   (ii) UNSW Global reasonably believes that the Overseas Recipient is subject to a law, or binding scheme, that has the effect of protecting the information in a way that, overall, is at least substantially similar to the way in which the Australian Privacy Principles protect the information, and there are mechanisms that the individual can access to take action to enforce that protection of the law or binding scheme;

   (iii) the individual has expressly consented for UNSW Global to do so; or

   (iv) as set out in paragraph 5.3.2 of this Policy.

5.8 Privacy Impact Assessment

When developing or reviewing a project, such as: new or amended programs, activities or databases, UNSW Global may consider the need for a Privacy Impact Assessment (PIA). A PIA identifies how a project can have an impact on individuals' privacy, and makes recommendations for managing, minimising or eliminating privacy impacts.
5.9 Notifiable Data Breach

In the case of an Eligible Data Breach UNSW Global will inform the OAIC and affected individuals in the manner required by the *Privacy Act 1988* (Cth).

5.10 The General Data Protection Regulations (GDPR)

The GDPR and the *Privacy Act 1988* (Cth) share many common requirements, however, there are also some notable differences. Where UNSW Global is engaging in business in the European Union that is likely to result in data being collected or processed in relation to European residents, please contact the Legal and Compliance team, who can advise on the nature of responsibilities that UNSW Global may have under the GDPR, before you start activities.

5.11 Accessing and correcting Personal Information

If an individual believes that the Personal Information which UNSW Global holds about them is inaccurate, out-of-date, incomplete, irrelevant or misleading they have the right to request the information to be corrected.

To request amendment of his/her Personal Information, the individual should:

(a) Provide his/her personal and contact details, and describe the Personal Information about him/her that they would like to amend, providing the reasons that he/she considers the information to be incomplete, incorrect, out-of-date, or misleading;

(b) Send the request to the attention of the UNSW Global Privacy Officer:

<table>
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<tr>
<th>By email:</th>
<th><a href="mailto:legalandcompliance@unswglobal.unsw.edu.au">legalandcompliance@unswglobal.unsw.edu.au</a></th>
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<tbody>
<tr>
<td>By post:</td>
<td>Legal and Compliance Team</td>
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<tr>
<td></td>
<td>UNSW Global Pty Limited</td>
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<tr>
<td></td>
<td>223 Anzac Parade</td>
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<td>Kensington NSW 2033</td>
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(c) To prevent adverse consequences of unauthorised disclosure of Personal Information, UNSW Global will verify the individual's identity and authority to request the change prior to processing the request.

(d) There is no fee to request correction of Personal Information.

(e) UNSW Global will aim to respond to the request within 30 days.

5.12 Complaints about handling Personal Information

If an individual believes that UNSW Global has misused their Personal Information they can contact the UNSW Global Privacy Officer to discuss and try to resolve the issue informally, or lodge an application for a formal review with UNSW Global, or complain to the OAIC.
Please note that the OAIC generally requires individuals to complain directly to the agency or organisation (in this case, UNSW Global) and allow 30 days for it to respond before the individual can lodge a complaint with the OAIC.

To lodge an application for a formal review with UNSW Global, an individual should:

(a) Complete a Complaint Form in line with the UNSW Global Complaints and Appeals Policy within twelve (12) months of the time an individual became aware of the misuse of their personal information. The Complaint Form is available at Annexure 2 of this Policy.

(b) The complaint can be made about:
   (i) Collection of Personal Information;
   (ii) Security or storage of Personal Information;
   (iii) Refusal to access or find out about Personal Information;
   (iv) Accuracy of Personal Information;
   (v) Use of Personal Information; and
   (vi) Disclosure of Personal Information.

(c) Email or post the form to the UNSW Global Privacy Officer.

(d) The individual will be informed in writing of the result of the review.

(e) UNSW Global aims to respond to the complaint within 10 working days. If the complaint is complex and requires more extensive investigation, UNSW Global will use all reasonable endeavours to complete the review within 30 days.

(f) If the individual is unhappy with the result of the review he/she can lodge a complaint with the OAIC. Information on how to lodge a complaint can be found on the OAIC website.

6 Legal and Policy Framework

This Policy sets the foundation for UNSW Global compliance with the following legal and regulatory requirements:

(a) Health Records and Information Privacy Act 2002 (NSW) (HRIP Act)
(b) Privacy Act 1988 (Cth)
(c) Privacy and Personal Information Protection Act 1998 (NSW) (PPIP Act)
(d) Privacy Amendment (Notifiable Data Breaches) Act 2017 (Cth)

6.2 Responsibilities

(a) Approver

   The Chief Executive Officer is responsible for the approval of this policy.
(b) **Responsible Officer**

The Head of Legal and Compliance is responsible for the implementation, dissemination and review of this policy.

(c) **Contact Officer (if applicable)**

The Associate Legal Counsel is responsible for the day to day implementation of this policy and is the first point of contact for all enquiries that relate to this policy.

(d) **Legal and Compliance Team**

The Legal and Compliance Team is responsible for the administration and publishing of this policy.

(e) **Staff, Supervisors and Executives**

UNSW Global staff, supervisors and executives are responsible for assisting in the implementation of and adherence to this policy.

6.3 **Review**

This policy is due for review three (3) years from its date of implementation or in case of legislative or regulatory changes.

7 **Related Documentation**

(a) **Privacy Impact Assessment Form** - available to staff in the Form List section of our Policy Intranet site: [https://intranet.unswglobal.unsw.edu.au/Policy/SitePages/Home.aspx](https://intranet.unswglobal.unsw.edu.au/Policy/SitePages/Home.aspx).

8 **Related Policies and Procedures**

N/A

9 **Version History**

<table>
<thead>
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<th>Date Effective</th>
<th>Approved By</th>
<th>Amendment Notes</th>
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| 2.0             | 01/09/2017     | Theresa Kelly | • Definitions of: APP entity, Business Partner, Consent, CRICOS Code, Direct marketing, Express consent, Health Information, Information Protection Principles (IPPs), Implied consent, Overseas Recipients Permitted General Situation, School Student, Service Provider, and UNSWG Global Student added  
• New points added: UNSWG functions, primary and secondary purposes of collecting Personal Information, consent, anonymity and pseudonymity, Privacy Impact Assessment and data breach response  
• Further information added about: UNSWG functions and activities and type of Personal Information collected (Annexure 1), accessing and correcting Personal Information and making complaints  
• Complaints Form added  
• Privacy Impact Assessment (PIA) form added |
| N/A             | N/A            | N/A         | Administrative updates: change of a Policy template to reflect the new UNSWG Global Branding Guideline. |
| 3.0             | 23/02/2018     | Rob Forage  | • Definitions pertaining to the NDB scheme and the GDPR added  
• Point 5.9 reviewed and aligned with the NDB scheme requirements |
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<td>• Address update and removal of references to Assessments business</td>
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<td>3.2</td>
<td>30/03/2021</td>
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<td>• Updates to hyperlinks and form references</td>
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<td>See page 1</td>
<td>L. Pearcey</td>
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<td>• Updates include removal of Assessments business and Institute of Languages, and the inclusion of student identification checks for assessments and staff work location</td>
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## Annexure 1

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<th>Business Group</th>
<th>Functions and Activities</th>
<th>Type of Personal Information Collected</th>
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| Academic, student administration/services and recruitment | Delivering English language programs and university pathway programs to UNSW Sydney and other universities | **Examples: UNSW Global Students**
- name
- physical address
- mobile phone number
- landline
- social media contact details
- student and personal email addresses
- date of birth
- gender
- citizenship
- passport number
- student visa
- academic records and transcripts
- enrolment details
- student number
- Unique Student Identifier (for domestic students in certain English courses)
- assessment results
- records relating to complaints, appeals, grievance procedures or misconduct
- photographs, videos or other recordings that identify the UNSW Global Student
- if a parent or guardian, their relationship to the UNSW Global Student
- health and other Sensitive Information where this affects a UNSW Global Student's progress or assessment, the management of emergencies or other aspects of a person's welfare
- in the context of a complaint, Personal Information about the complainant and others who are involved, in order to deal with the complaint |
| Academic, student administration/services and recruitment | International student recruitment, admissions, academic administration and student services | **Examples of activities**
- receiving and considering Student applications
- where UNSW Global Students have applied through an Australian or overseas agent, sharing information about the UNSW Global Student with the agent
- administering complaints and appeals processes
- administering disciplinary processes
- providing administrative services for a wide range of matters including UNSW Global Student enrolment, progress and welfare
- responding to queries (whether online, over the phone or in person)
- managing adjustments for UNSW Global Students with a disability
- assisting when UNSW Global Students ask for help on personal issues (eg referrals to counsellors, liaising with doctors and other specialists and UNSW Sydney)
- providing recreational activities, support services and social events for UNSW Global Students, such as excursions, graduation ceremonies, year books,
- assisting UNSW Global Students who transition to UNSW Sydney after completing their studies with |
### Business Group | Functions and Activities | Type of Personal Information Collected
---|---|---
UNSW Global | • dealing with third parties that provide goods or services to UNSW Global Students where we have been involved in procuring the good or service for the student (eg health insurance providers)  
• communicating with UNSW Global Students about matters related to their study  
• to confirm a student’s identity at assessments  
• marketing UNSW Global’s services through all forms of media (including our website, social media pages, brochures and pamphlets)  
• conducting research and analysis (including market research)  
• managing emergencies  
• communicating with UNSW Global students about emergencies identified by UNSW Sydney | • in the context of disciplinary or misconduct proceedings, Personal Information about the UNSW Global Student in question and others who are involved, in order to deal with the matter

### Other UNSW Global Business Groups
**Other UNSW Global Business Groups** perform business support functions such as Human Resources, Finance, IT, Marketing and Legal and Compliance. These Business Groups support UNSW Global’s core activities.

**Human Resources**
- recruiting new staff
- maintaining staff records
- managing staff performance management, complaints, misconduct and grievance procedures
- managing adjustments for staff with a disability or health condition as applicable
- assisting where staff ask for help with personal issues
- staff health and safety risk management

**Examples of types of Personal Information about staff or applicants**
- name
- residential address
- mobile phone number
- landline
- personal email addresses
- work email address
- date of birth
- gender
- employment history
- qualifications, education and training
- academic transcripts
- employer references
- criminal history (where relevant to position)
- Working with Children Check (where relevant to position)
- in the context of a complaint,
<table>
<thead>
<tr>
<th>Business Group</th>
<th>Functions and Activities</th>
<th>Type of Personal Information Collected</th>
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<tbody>
<tr>
<td></td>
<td></td>
<td><strong>Finance and Facilities</strong></td>
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<td></td>
<td></td>
<td>• managing UNSW Global Student fees and payment matters</td>
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<td>• managing staff payroll and benefits</td>
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<td>• managing staff use of corporate credit cards</td>
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<td>• workspace resource management (such as desk and meeting room bookings)</td>
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<td></td>
<td><strong>Examples of types of Personal Information</strong></td>
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<td>• information in relation to staff salaries and benefits</td>
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<td>• Payee identity checks for refunds</td>
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<td>• staff bank account information</td>
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<td>• work location (as updated from time to time across authorised worksites)</td>
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<td><strong>IT</strong></td>
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<td>• managing the access controls, security and integrity of data held in Global’s information systems, including</td>
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<td>o databases (e.g. UNSW Global Student information databases managed by Global’s Admissions team and employee data managed by our HR team)</td>
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<td>o content management systems (such as our contracts management system)</td>
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<td></td>
<td>• outsourcing IT functions to third parties (such as cloud service providers) where such parties are subject to confidentiality and security obligations</td>
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<td>• managing data back-up processes</td>
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<td><strong>Examples of types of Personal Information about staff, visitors and UNSW Global Students</strong></td>
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<td>IT has a role in supporting all Global’s systems which contain Personal Information. Examples of Personal Information which IT handles in a more direct way include:</td>
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<td>• usernames and passwords</td>
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<td>• email addresses</td>
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<td>• IP addresses</td>
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<td>• use and consumption of UNSW Global’s developed or procured IT products and services (e.g. websites, business software and digital devices).</td>
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<td><strong>Marketing</strong></td>
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<td>• preparing, publishing or distributing advertising, promotional and other marketing material (e.g. on our</td>
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<td></td>
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<td><strong>Examples of types of Personal Information about UNSW Global Students, staff and School Students</strong></td>
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<td></td>
<td></td>
<td>• name</td>
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<tr>
<td>Business Group</td>
<td>Functions and Activities</td>
<td>Type of Personal Information Collected</td>
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<td>website, social media pages, hard copy brochures, handbooks and pamphlets, video testimonials) • running recruitment and promotional events (e.g. at careers fairs) • running trade promotions • communicating with UNSW Global Students or potential UNSW Global Students for marketing purposes (including by email or mobile phone where UNSW Global Students have opted in to receive such communications, but we will always provide a straightforward way of opting out) • collecting data, conducting research and performing analysis to improve existing, and develop new, products and services</td>
<td>• physical address • mobile phone number • landline • social media contact details • UNSW Global Student and School Student and personal email addresses • date of birth • job title (if a staff) • student number (if a UNSW Global Student) • photographs, videos or other recordings that identify the UNSW Global Student, staff or School Student (though we will seek specific consent unless it is not reasonably practicable to do so)</td>
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<td><strong>Legal and compliance</strong> Advising UNSW Global on the following: • its legal rights and obligations in relation to UNSW Global Students or School Students; parents or guardians of Under 18 UNSW Global Students or School Students; staff; and third parties such as customers, Business Partners and others • regulatory compliance matters • Student complaints, misconduct or disciplinary matters • staff complaints, misconduct or disciplinary matters • negotiating and managing contracts • litigation and disputes to which it is a party • briefing external solicitors, barristers and other advisers agreements and arrangements with UNSW</td>
<td>All the types of Personal Information we hold, including the examples in this table.</td>
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<tr>
<td><strong>All UNSW Global Business Groups</strong></td>
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<tr>
<td>Business Group</td>
<td>Functions and Activities</td>
<td>Type of Personal Information Collected</td>
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<tr>
<td><strong>All Business Groups</strong></td>
<td>Engaging third party suppliers (e.g. cloud service providers, IT providers and consultants) to enable UNSW Global to improve its infrastructure, systems, processes, products and services</td>
<td>All the types of Personal Information we hold, including the examples in this table.</td>
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<td>All interactions with UNSW as UNSW Global’s parent entity, including:</td>
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<td>• administering packaged offers of admission to UNSW Global and UNSW</td>
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<td>• managing emergencies (including calling or texting UNSW Global Students and UNSW Global staff on their mobile or other devices);</td>
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<td>• facilitating access by UNSW Global Students to UNSW services such as UNSW Disability Services, counselling and health services</td>
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<td>• complaints, disciplinary and misconduct matters affecting UNSW Global or UNSW</td>
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<td>• working with UNSW Sydney to improve existing, and develop new, products and services to UNSW Global Students or UNSW students (which may involve collecting and sharing data with UNSW Sydney, and performing research and analysis)</td>
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<td>• maintaining and developing UNSW and UNSW Global’s business infrastructure, services, systems and processes.</td>
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<td></td>
<td>• Exercising our rights, or fulfilling our obligations under, a contract with an individual.</td>
<td>All the types of Personal Information we hold, including the examples in this table.</td>
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<tr>
<td></td>
<td>• Communicating with customers in order to improve our services.</td>
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<td></td>
<td>• Sending information and material that are related to UNSW Global services or that may be of interest</td>
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<tr>
<td>Business Group</td>
<td>Functions and Activities</td>
<td>Type of Personal Information Collected</td>
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<td>to a customer.</td>
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<td>Any other purpose for which Personal Information was provided to UNSW Global or for any purpose related or ancillary to any of the above.</td>
</tr>
</tbody>
</table>
Annexure 2 - Complaint Form

| Full Name  |  |
| Postal Address |  |
| Phone Number |  |
| Email Address |  |

Please tick which of the following describes your complaint: *(you may tick more than one option):*

- [ ] collection of my Personal, Sensitive or Health Information
- [ ] security or storage of my Personal, Sensitive or Health Information
- [ ] refusal to let me access or find out about my own Personal, Sensitive or Health Information
- [ ] accuracy of my Personal, Sensitive or Health Information
- [ ] use of my Personal, Sensitive or Health Information
- [ ] disclosure of my Personal, Sensitive or Health Information
- [ ] other *(please specify)*:

Please describe the details of your complaint and dates where relevant:

| Attached documents |  |
|--------------------|  |
| [ ] I am attaching supporting documents |
| [ ] I am not attaching supporting documents |

<table>
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<tr>
<th>Signature</th>
<th>Date</th>
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**Office Use Only**

<table>
<thead>
<tr>
<th>Received by</th>
<th>Date</th>
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</thead>
<tbody>
<tr>
<td>Signature</td>
<td>Date</td>
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</tbody>
</table>